

RECEIPT

LAW OFFICES  
**LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP**

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GREGORY S. GEWIRTZ  
JONATHAN A. DAVID  
SHAWN P. FOLEY\*  
JEFFREY S. DICKEY



600 SOUTH AVENUE WEST  
WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000  
FAX: (908) 654-7866  
www.ldlkm.com

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February 28, 2002

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WILLIAM SMITH  
TIMOTHY J. LUBECKI\*  
TIMOTHY J. BORTREE  
LANCE Y. LIU

OF COUNSEL  
LAWRENCE I. LERNER  
DANIEL H. BOBIS  
RAYMOND W. AUGUSTINA  
HARVEY L. COHEN

\*NORTH CAROLINA BAR ONLY  
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Office of Initial Patent Examination  
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APR 2 2 2002

OFFICE OF THE SPECIAL  
PROGRAMS EXAMINER

Re: Our File: SONYJP 3.0-217  
Appln. No. 09/993,094  
Filing Date: November 6, 2001  
Group Art Unit: 2833

Dear Sir:

We are enclosing herewith a copy of the filing receipt for the above-identified patent application.

Please note that the enclosed filing receipt contains two errors. First, the third (3<sup>rd</sup>) applicant has inadvertently been omitted from the filing receipt. Therefore, please INSERT the THIRD applicant to read --KATSUMI ENDO, Kanagawa, Japan--. Also, the correct *Title* should read --ADAPTER DEVICE, MEMORY DEVICE AND INTEGRATED CIRCUIT CHIP--. Therefore, please delete the verbiage that reads "cross-reference to related applications".

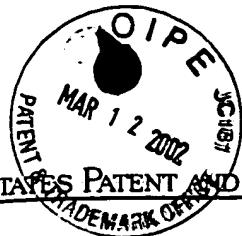
Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

  
ROBERT B. COHEN  
Reg. No. 32,768

RBC:ls  
Enclosure  
346285\_1.DOC



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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,094	11/06/2001	2833	908	SONY JP 3.0-217	9	9	5

CONFIRMATION NO. 6045

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

FILING RECEIPT



\*OC000000007163043\*

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Shigeo Matsumoto, Kanagawa, JAPAN;  
Yasushi Fujita, Kanagawa, JAPAN;  
Katsumi Endo, Kanagawa, JAPAN

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN P2000-344453 11/10/2000  
JAPAN P2001-091268 03/27/2001

If Required, Foreign Filing License Granted 12/06/2001

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

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DEC 11 2001

**Early Publication Request:** No

**LDLK&M**

**Title**

Adapter device, memory device and integrated circuit chip ~~cross reference to related applications~~

**Preliminary Class**

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,094	11/06/2001	Shigeo Matsumoto	SONY JP 3.0-217

000530  
 LERNER, DAVID, LITTENBERG,  
 KRUMHOLZ & MENTLIK  
 600 SOUTH AVENUE WEST  
 WESTFIELD, NJ 07090

CONFIRMATION NO. 6045  
 FORMALITIES LETTER



\*OC00000007163044\*

ROOM

Date Mailed: 12/06/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

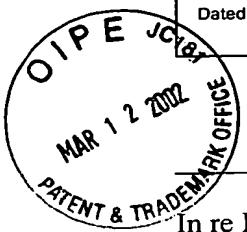
*A copy of this notice **MUST** be returned with the reply.*

*MTB*  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 SDENB0B1 00000049 121095 09993094  
 01 FC:105 130.00 CH



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC-20231, on the date shown below.

Dated: February 28, 2002

Signature:

(Robert B. Cohen)

2800

Recd [Signature]  
Docket No.: SONYJP 3.0-217  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
*Matsumoto et al.*

Application No.: 09/993,094

Group Art Unit: 2833

Filed: November 6, 2001

Examiner: Not Yet Assigned

For: ADAPTER DEVICE, MEMORY DEVICE AND  
INTEGRATED CIRCUIT CHIP

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

Dated: February 28, 2002

Respectfully submitted,

By

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000

Attorneys for Applicant

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